



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
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April 1, 2019

**VIA ECF**

Honorable Ona T. Wang  
United States District Court  
Southern District of New York  
500 Pearl Street  
New York, NY 10007-1312

**Re: SEC v. Robert Stewart et al., No. 15-cv-03719 (AT)(OTW)**

Dear Judge Wang:

I write to provide a status report on the above-referenced case and the related criminal case, *United States v. Sean Stewart*, No. 15-cr-287 (LTS) (the "Criminal Action").

As previously reported, on November 5, 2018, the Second Circuit issued a ruling vacating Mr. Stewart's judgment of conviction in the Criminal Action and remanding the matter to the district court for further proceedings.

On March 7, 2019, the district court held a pretrial conference in the Criminal Action. The court scheduled the retrial to begin on September 9, 2019. The court set a schedule for pretrial motions, ordering that they be fully briefed by May 28, 2019.

Respectfully submitted,

/s/ Julia C. Green  
Julia C. Green

cc: Counsel of Record (by ECF)  
Sean Stewart (by U.S. Mail)  
Richard Cooper, Esq. (by email)  
Samson Enzer, Esq. (by email)  
Lawrence Gerschwer (by email)  
Steven Witzel (by email)

The next status letter shall be filed by June 1, 2019.

**SO ORDERED.**

Ona T. Wang 4/2/2019  
United States Magistrate Judge